

08-31-01

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J1036 U.S. PTO

09/943158

08/30/01

UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under
37 CFR 1.53(b))

Attorney Docket No.

HAU234

First Inventor

David Bailey, et al

Title

Efficient Method for Producing
Compositions Enriched in
Anthocyanins

Express Mail Label No.

EL841974860US

APPLICATION ELEMENTS

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- | | |
|--|---|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form
(submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status.
See 37 CFR 1.27</p> <p>3. <input checked="" type="checkbox"/> Specification total pages 26
(preferred Arrangement set forth below)</p> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings - Detailed Description - Claim(s) - Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) 6</p> <p>5. <input checked="" type="checkbox"/> Unsigned Declaration [total sheets: 4]</p> <p>a. <input checked="" type="checkbox"/> Unexecuted (original or copy)</p> <p>b. <input type="checkbox"/> Copy from prior appl. (37 C.F.R. § 1.63(d))</p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</p> | <p>6. <input type="checkbox"/> Application Data Sheet. (See 37 CFR 1.76)</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table
or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p> |
|--|---|

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (coversheet/document(s))
10. ☐ 37 CFR. 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document
12. ☒ IDS & Form 1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
15. ☐ Certified Copy of Priority Document(s)
16. ☐ Request & Certification under 35 USC 122(b)(2)(B)(i)
17. ☒ Other: Certificate of Mailing Express Mail

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: ____/____

Prior application information: Examiner: _____ Group/Art Unit: _____

FOR CONTINUATION OR DIVISIONAL APPS only. The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label or ☒ Correspondence address below

Name	Sarah O'Rourke, Reg. No. 41,226				
	Hogan & Hartson, LLP				
Address	1200 17 th Street				
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Name (Print/Type)	Sarah S. O'Rourke		Registration No.		41,226
(Signature)	<i>Sarah O'Rourke</i>			Date	August 30, 2001

FEE TRANSMITTAL for FY 2001

Complete if Known

Application Number	Not Yet Accorded
Filing Date	Not Yet Accorded
First Named Inventor	David T. Bailey, et al
Examiner Name	Not Yet Accorded
Group / Art Unit	Not Yet Accorded
Attorney Docket No.	HAU234

TOTAL AMOUNT OF PAYMENT (\$) **(\$ 796.00)**

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to

Deposit
Account
Number

50-1123

Deposit
Account
Name

Hogan & Hartson L.L.P.

- ☒ Charge Any Additional Fee Required Under 37 CFR § 1.16 and 1.17
☒ Applicant claims small entity status
 See 37 CFR 1.27

2. ☒ **Payment Enclosed:**

- ☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
710	355	Utility Filing Fee	355.00
320	160	Design filing fee	
490	245	Plant filing fee	
710	355	Reissue filing fee	
150	75	Provisional filing fee	

SUBTOTAL (1) (\$355.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
69	-20**= 49	9 00	= 441.00
Independent Claims	3	-3**= 0	= 00
Multiple Dependent			= 0

**or number previously paid, if greater, For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	**Reissue independent claims over original patent
110 18	210 9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$441.00)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,240	620	Petition to revive - unintentional	
1,240	620	Utility issue fee (or reissue)	
440	220	Design issue fee	
600	300	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
710	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
710	355	For each additional invention to be examined (37 CFR § 1.129(b))	
710	355	Request for Continued Examination	
900	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$).00

SUBMITTED BY Complete (if applicable)

Name (Print/Type) **Sarah S. O'Rourke** Registration No (Attorney/Agent) **41,226** Telephone **(720) 406-5385**

Signature *Sarah O'Rourke* Date **8-30-01**

PATENT
EXPRESS MAIL NO. EL841974860US
Attorney Docket No. HAU234
Client/Matter No. 81250.0091.001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David T. Bailey, et al.

Serial No. Not Yet Accorded

Filed: Not Yet Accorded

For: EFFICIENT METHOD FOR
PRODUCING COMPOSITIONS
ENRICHED IN ANTHOCYANINS

Group Art Unit: Not Yet Accorded

Examiner: Not Yet Assigned

CERTIFICATE OF MAILING BY EXPRESS MAIL

Box PATENT APPLICATION
Assistant Commissioner
for Patents
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

1. Utility Patent Application Transmittal;
2. Utility Patent Application 26 pages;
3. Drawings (6 pages);
4. Fee Transmittal Form (in duplicate);
5. Check in the amount of \$ 796.00;
6. IDS Statement under 37 C.F.R. 1.56;
7. IDS Form PTO-1449 with copies of 9 references;
8. Unsigned Declaration; and
9. Return Postcard

relating to the above application, were deposited as "Express Mail", Mailing Label
No. EL841974860US, with the United States Postal Service, addressed to Box PATENT
APPLICATION, The Assistant Commissioner for Patents, Washington, D.C., 20231.

August 30, 2001
Date

Sarah S. O'Rourke
Mailer

August 30, 2001
Date

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